

1 **DUAL POLARIZATION RADAR LAGRANGIAN PARAMETERS: A STATISTICS-BASED**
2 **PROBABILISTIC NOWCASTING MODEL**

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22

Abstract

23

24 The aim of this study is to present a statistics-based Lagrangian nowcasting model to predict intense
25 convective events based on dual polarization radar parameters. The data employed in this study are from
26 X-Band radar collected during the CHUVA-Vale campaign from November 2011 to March 2012 in
27 southeast Brazil. The model was designed to catch the important physical characteristics of storms, such as
28 the presence of supercooled water above 0 °C isotherm, vertical ice crystals in high levels, graupel
29 development in the mixed phase layer, and storm vertical growth, using polarimetric radar in the mixed-
30 phase layer. These parameters are based on different polarimetric radar quantities in the mixed phase, such
31 as negative differential reflectivity (Z_{DR}) and specific differential phase (K_{DP}), low correlation coefficient
32 (ρ_{hv}) and high reflectivity Z_h values. Storms were tracked to allow the Lagrangian temporal derivation. The
33 model is based on the estimation of the proportion of radar echo volume in the mixed phase that is likely to
34 be associated with intense storm hydrometeors. Thirteen parameters are used in this probabilistic
35 nowcasting model, which is able to predict the potential for future storm development. The model
36 distinguishes two different categories of storms, intense and non-intense rain cell events by determining
37 how many parameters reach the “intense” storm threshold.

38 **Keywords:** Nowcasting, Dual polarization radar, Microphysics, CHUVA Project.

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45 **1 Introduction**

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47 Dual polarization radar provides very useful information for short-term forecasting (nowcasting) because
48 it offers information that allows for the inference of cloud microphysical properties as a result of the
49 differences and/or similarities in the signals from the horizontally and vertically polarized channels. Some
50 additional variables afforded by this radar compared to single polarization radar are differential reflectivity
51 (Z_{DR}), differential phase (Φ_{DP}), specific differential phase (K_{DP}) and correlation coefficient (ρ_{hv}) (for
52 definitions of variables, see Kumjian 2013). Due to the sensitivities of these variables to hydrometeor type,
53 size, shape, and concentration, polarimetric radar information can be used to interpret cloud microphysical
54 properties and physical processes. Although nowcasting techniques based on meteorological radar data
55 have been developed in the past few decades, the use of dual polarization radar data in nowcasting
56 applications is still relatively unexplored. Studies in this area are primarily based on dual polarization
57 microphysical interpretations, which are signatures for severe event case studies or their relationship with
58 lightning. This study explores the time evolution and trend of storm dual polarization variables as a proxy
59 of intense convection.

60 Some authors have evaluated the backscattering properties of polarimetric observations for different
61 hydrometeors, such as Aydin *et al.* (1984), Aydin and Seliga (1984) and Matrosov (1996). In addition, an
62 important improvement is the hydrometeor classification development, which has been widely performed
63 using the fuzzy logic method (Vivekanandan *et al.* 1999; Al-Sakka *et al.* 2013) and simulations using the
64 scattering model (Straka 2000; Dolan and Rutledge 2009). Several studies have used polarimetric variables
65 to describe the physical processes of specific meteorological events. For example, Dotzek and Friedrich
66 (2009) used hydrometeor classification with dual polarization radar and observed that melting
67 hydrometeors and the evaporation of liquid water and large hail drag are the main contributing factors to
68 the occurrence of downbursts. For severe thunderstorms, polarimetric signatures of supercells were studied
69 by Kumjian and Ryzhkov (2008). They found that high Z_{DR} arc, which is caused by storm-relative winds,
70 Z_{DR} and K_{DP} columns associated with updrafts, depressed ρ_{hv} holes, which are caused by mixed-phase and
71 resonance-sized hydrometeors, and high Z_{DR} rings, which are caused by water-coated ice particles,

72 increasingly oblate. Evaristo *et al.* (2013) showed that small conical graupels with small apex angles are
73 associated with a Z_{DR} less than 0 dB. In general, considering the range of apex angles, the mean Z_{DR}
74 signature is negative and Z_h is relatively high. Very large and irregularly shaped hail also has a negative
75 Z_{DR} feature (Straka *et al.* 2000). Otherwise, for melting hail, Z_{DR} can be significantly higher than 0 dB
76 (Ryzhkov *et al.*, 2013a, b).

77 Polarimetric variables have been widely used for storm electrification studies. Evidence for the vertical
78 alignment of ice crystals at upper levels of thunderstorms due to strong electric fields have been documented
79 by Hendry and McCormick (1976), Weinheimer and Few (1987) and Foster and Hallet (2002). These are
80 important conditions for cloud electrification because for polarimetric variables, they lead to negative Z_{DR}
81 values in the glaciated phase region (Ryzhkov and Zrnic, 2007; Dolan and Rutledge, 2009). Jameson (1996)
82 verified the appearance of a significant volume of positive Z_{DR} at -7 °C, corresponding to the process of
83 large liquid raindrops and subsequent freezing as a proxy of the onset of electrification. Woodard *et al.*
84 (2012) verified a graupel at -15 °C and Z_{DR} column ($Z_{DR} > 1$ dB) at -10 °C as predictors of lightning. Van
85 Lier-Walqui *et al.* (2015) observed a K_{DP} column feature, which consists of a positive K_{DP} above the melting
86 layer that is associated with updrafts, lightning and intense rainfall. Lund (2009) also verified a Z_{DR} column
87 above 0 °C isotherm (3-6 km above mean sea level), suggesting that graupel formation in this region is an
88 important feature for lightning initiation. Using a large number of events observed by X-band radar, Mattos
89 *et al.* (2016a) found positive Z_{DR} above -15 °C isotherm for high lightning frequency, as well as Z_h up to 45
90 dBZ and K_{DP} around $+1$ ° km⁻¹ between 0 °C and -15 °C isotherm, which was associated with raindrops
91 carried by strong updrafts. In the glaciated phase layer, above -30 °C isotherm, authors have found enhanced
92 negative K_{DP} values of -0.5 ° km⁻¹ for high lightning frequency.

93 Lagrangian tracking for radar echo studies is widely used along with nowcasting techniques (Bellon *et al.*
94 2010; Morel *et al.* 1997; Johnson *et al.* 1998; Dixon and Wiener 1993; Lakshmanan and Smith 2010).
95 Additional research about rain cell clusters tracking for physical interpretation has been done for graupel
96 volume and dual polarization properties associated with lightning (Carey and Rutledge 1996, 2000) and
97 graupel mass (Deierling *et al.* 2008; Deierling and Petersen 2008). Our study also focuses on storms from
98 a Lagrangian perspective, as an automated tracking algorithm is used to identify and track a rain cell.

99 The abovementioned studies demonstrate the important capability of dual polarization radar to observe
100 storm features and describe their degree of severity. The additional information provided by dual
101 polarization radar compared with single polarization radar shows a strong potential to improve nowcasting
102 techniques and lead-time in nowcasting models. The main objectives of this study are to a) evaluate and
103 quantify the predictability of nowcasting parameters using dual polarization radar data and b) propose a
104 statistical model for nowcasting intense convective events based on Lagrangian tracking of convective cells.

105 Section 2 presents the data and methodology used in this study, Section 3 presents the dual polarization
106 radar Lagrangian parameter calculation, and its evaluation is shown in Section 4. Section 5 describes the
107 nowcasting model, and conclusions are provided in Section 6.

108

109 **2 Data**

110

111 The data used in this study are from the CHUVA Project (“rain” in Portuguese, acronym for Cloud
112 Processes of the Main Precipitation Systems in Brazil: A Contribution to Cloud-Resolving Modeling and
113 to the Global Precipitation Measurement (GPM)), which consists of field experiments that evaluate cloud
114 processes of the different precipitation regimes in Brazil (Machado *et al.* 2014). This study uses data
115 collected during the CHUVA-Vale campaign, which has significant potential for the development of
116 nowcasting tools due to the availability of good quality, high time-space resolution of a 9.375 GHz X-Band
117 dual polarization radar (DX50 Selex) data for several intense convective events. In this campaign, the radar
118 was installed in Sao Jose dos Campos (23°12' S, 45.54' W) from November 1, 2011 to March 31, 2012
119 (Figure 1).

120 The X-Band radar data were pre-processed for precipitation attenuation in reflectivity and differential
121 reflectivity. For the reflectivity attenuation correction, ZPHI algorithm was used (Testud *et al.* 2000;
122 Schneebeli *et al.* 2012). Precipitation over radar occurred only 3 times for the selected events (0.007% of
123 cases). For these cases, a correction proposed by Bechini *et al.* (2010) was applied. For the Z_{DR} correction,

124 the linear Φ_{DP} method that considers Z_{DR} attenuation to be linearly proportional to Φ_{DP} (Bringi 2007) was
125 employed. Z_{DR} offset was removed based on the vertical pointing strategy before each volume scan
126 (Sakuragi and Biscaro 2012). A detailed description of the corrections employed is detailed in Machado *et al.*
127 *al.* (2014), Mattos *et al.* (2016a) and Schneebeli *et al.* (2012). Possible resonance effects caused by
128 interference from electromagnetic waves reflected by the edges of the drops and cross-coupling between
129 the orthogonally polarized waves (Ryzhkov and Zrnic 2005) or non-filling beans effects were not
130 considered in the corrections. The radar strategy employed during the campaign was composed of 13
131 elevations every 6 minutes, with angular and radial resolutions of 1° and 150 m, respectively, one RHI and
132 1 vertical pointing. The maximum range was 100 km (Machado *et al.* 2014), but in this study, a maximum
133 range of 60 km was used to avoid larger attenuation at a high distance from the radar.

134

135 **3 The Lagrangian Calculations and Vertical Profiles**

136

137 The basic hypothesis for the statistics-based probabilistic nowcasting model development was that the dual
138 polarization parameters and trends are able to capture information about storm intensity during the storm's
139 life cycle before the storm becomes "intense" (the definition for intense storms in this work is provided in
140 Section 4b). A tracking algorithm was employed to follow the rain cells and for the calculation of the
141 Lagrangian parameters and its time derivation. The tracking algorithm employed was the ForTraCC
142 (Forecast and Tracking the Evolution of Cloud Clusters; Vila *et al.* 2008). Tracking was performed using
143 3 km CAPPI, followed by 35 dBZ reflectivity structures (hereafter called rain cells) and using the threshold
144 of an area of 0.2 km^2 overlap between consecutive scans (for the 6-minute interval). In cases of a rain cells
145 split, the cell's life cycle that was chosen for continued tracking was the cell with a higher maximum
146 reflectivity. Originally, ForTraCC was designed to continue tracking with the largest cell in the split cell's
147 cases; however, our study verified that following the core of maximum reflectivity is more appropriate for
148 nowcasting (not shown). For each rain cell, a 3-D grid with 1 km horizontal and 0.5 km vertical resolutions
149 were built based on the volume scan. For each $1 \times 1 \times 0.5 \text{ km}$ box, a bin value was chosen from the bin

150 population inside the box, depending on the physical characteristics, such as the minimum and maximum
151 polarimetric variable value. If a box does not contain any radar bin, then the box is filled with a value
152 linearly interpolated by the closer vertical neighbors, constituting all vertical profiles.

153 After the 3-D data rearrangement, layers of interest for obtaining dual polarization intense convective events
154 potential characteristics were defined. Layers were defined between the isotherms that were obtained from
155 an average reference radiosonde released during the campaign. The layer of interest was the mixed-phase
156 Layer (MPL), defined between 0 °C and -40 °C isotherms. Two mixed-phase sub-layers were defined in
157 this study, mixed-phase layer 1 between 0 °C and -15 °C (MPL-1) and mixed-phase layer 2 (MPL-2)
158 between -15 °C and -40 °C. Mattos *et al.* (2016a) also utilized these sub-layers.

159

160 **4 Nowcasting parameters calculations**

161

162 *a General calculations*

163

164 Potential nowcasting parameters were acquired after obtaining the 3-D grid data for each rain cell. Storm
165 sub-volumes of specific characteristics defined by the polarimetric variables were computed for the MPL
166 layer and sub-layers. This was translated to the cells defined by the area of 35 dBZ at 3 km every 6 minutes,
167 which makes Lagrangian calculations possible. The set of parameters, as well the expected values, are
168 consistent with the physical interest of the studied layer for intense convection, based on the characteristics
169 of the dual polarization intense convective events.

170 A typical storm life cycle, from the beginning to its maturation phase, presents the following features: low
171 level convergence, vertical growth, formation of ice crystals in high levels, updrafts carrying liquid water
172 to levels above 0 °C isotherm in supercooled water, and graupel formation in mixed phase layer by the

173 riming process. These physical processes before the maturation phase were analyzed through the
174 representative parameters measured by radar variables. The computed parameters are:

- 175 a) Echo top time variation as a proxy of the cloud vertical velocity;
- 176 b) Vertically Integrated Liquid (VIL) to estimate the total mass of precipitation (Greene and Clark
177 1972);
- 178 c) Reflectivity $Z_{h \geq 35}$ dBZ between 0 °C and -40 °C isotherms (MPL) to estimate the number and,
179 mainly, the size of hydrometeors;
- 180 d) $K_{DP} \geq 0$ ° km⁻¹ between 0 °C and -15 °C (MPL-1) to describe regions with updrafts (supercooled
181 liquid water) above the melting layer (van Lier-Walqui *et al.* 2015);
- 182 e) $K_{DP} < 0$ ° km⁻¹ in MPL-2, between -15 °C and -40 °C isotherms, to describe regions with ice crystal
183 formed above the updraft of the supercooled liquid water (Mattos *et al.* 2016b);
- 184 f) $Z_{dr} < 0$ dB between 0 °C and -40 °C (MPL), implying a vertically oriented ice crystals content in
185 this layer (Aydin and Seliga 1984);
- 186 g) $\rho_{hv} \leq 0.9$ between 0 °C and -15 °C (MPL-1), implying the presence of hydrometeors in different
187 phases in the same sample volume (Tuttle *et al.* 1989).

188 The 1x1x0.5 km storm pixels were filled by a maximum polarimetric variable value for parameters a-d and
189 with minimum values for parameters e-g. This is an important procedure because sometimes, the variable
190 needs to be higher, such as the reflectivity or VIL, or smaller, such as Z_{DR} , to describe graupel in the mixed
191 phase.

192 The storm process toward the convective intense stage can be described by the volume fraction of the
193 parameters described above or by the trend of these volumes. The hypothesis is that the mixed phase region
194 is filled with these representative parameters measured by radar variables as the ordinary cloud moves to a
195 thunderstorm with intense characteristics (reaches the 60 dBZ reflectivity). Therefore, after the set of
196 parameters were determined, the volume fraction (compared to the total volume of MPL) and the
197 Lagrangian temporal derivatives (the trends) of these volumes were performed. These volume fractions and
198 trends are considered as potential estimators of nowcasting.

199

200 *b The selection of intense and non-intense convective events.*

201

202 To test the parameters shown in the last section, intense events were defined in this study as any rain cells
203 reaching at least 60 dBZ at 3 km height, any time during the life cycle. Non-intense events were defined as
204 rain cells that did not meet the above criteria, but that had at least one pixel with reflectivity between 45
205 and 55 dBZ at 3 km height during its life cycle. We added this additional criterion for non-intense events
206 to have a strong control dataset, thus avoiding ordinary storms. For the intense events definition, it is
207 assumed that a significant amount of large drops and/or hail is present in a storm's low level (below freezing
208 level). It is also assumed that this precipitation/hail amount would affect the surface by intense rainfall or
209 hail by continuity and/or downbursts by precipitation drag or hail melting. For the non-intense events
210 definition, it is assumed that the storm has limited intense features because in most of the storm's area
211 coverage and life cycle, the Z_h values are much lower than 55 dBZ. It is also assumed that non-intense
212 events have a small probability of having hail or downbursts due to the limited imposed condition, and at
213 the same time, they avoid ordinary storms that are easily identified by our criterion. For intense events, it
214 is necessary to define "event time", which is the time of the occurrence of 60 dBZ. This definition is very
215 important for the verification of the lead time to nowcast the events.

216 Twenty-nine intense and nineteen non-intense events were obtained, and all parameters were calculated.
217 From the twenty-nine intense events, the last 10 were used to evaluate the model and are defined as
218 independent cases. No non-intense events were tested in this evaluation. Each case was carefully analyzed
219 visually, and no significant signal extinction happened on any case, likely due to the 60 km radius limit and
220 because these cells were not interfered by other convective cells (isolated cells). Cases where some
221 extinction was clearly present were not considered in this study. Figure 1 shows the selected events location
222 at its maximum reflectivity time, and Figure 2 shows the maximum reflectivity time evolution of all events.

223

224 *c Adjustments of parameters threshold and application to events*

225

226 For each event's time step, the volume fraction of representative parameters measured by radar (volume %
227 of the total MPL volume) and the Lagrangian volume temporal derivative (the trend of the volume fraction)
228 were computed. For intense events, the time of the occurrence of the maximum value (the volume fraction
229 or trend of the specific parameter) before the event time (when the rain cell reached 60 dBZ for the first
230 time) was saved. This procedure was followed to study the lead time of each computed parameter. Figure
231 3 shows the frequency of occurrence of the lead time, i.e., the time when the maximum value of each
232 parameter was reached before the "event time". This result shows that the volume fraction and the trends
233 of the selected parameters can predict the intense convective event before it reaches the "event time". It is
234 important to highlight that VIL and its trend and echo top time variations were the only parameters for
235 which the volume fraction was not computed. The maximum frequency of these maximum values occurs 6
236 minutes before the event time, while the average and median time is 14.7 and 12 minutes, respectively.

237 The different lead time of each parameter is clear. The largest lead time was observed for parameter 10 (see
238 Table 1), $Z_{DR}<0$ relative volume in the MPL, parameter 11, and echo top time variation. The likely reason
239 for the larger echo top time variation lead time is that these parameters roughly describe the intensity of the
240 cloud vertical motions during the growing phase, before the system reaches the maximum cloud top height.
241 The $Z_{DR}<0$ relative volume describes the increase of the vertical ice crystals and graupel content and is also
242 a good parameter with a large lead time, which is a feature that precludes the first lightning occurrence
243 (Mattos *et al.* 2016b). Interestingly, the relative volume of positive K_{DP} in MPL-1 (parameter 4 in table 1),
244 a parameter related to updraft stretching, shows a peak 30 minutes before the event time, resulting in an
245 important contributor for increasing lead time for intense convective events.

246 The result presented in Figure 3 shows a coherent increase as the lead time decreases. However, it should
247 be noted that the maximum volume fraction or trend can occur in different lead times. Therefore, to design
248 a statistics-based model for nowcasting, we should consider a probability perspective, as the set of
249 parameters has two main characteristics: a) an increase in probability detection as the event time gets closer

250 and b) the maximum volume fraction or trend can have the maximum value at different time intervals before
251 the event time. To obtain a probabilistic model, we should consider the probability for all parameters
252 (volumes fraction, trends and echo top rate) to indicate the occurrence of intense convective events. We
253 expect to have an increase in probability as the time moves closer to the intense weather event time.

254 We have defined a specific threshold for each parameter to build the probability model. For the parameter
255 threshold value definition (volume fraction, trends or echo top rate), the threshold value must be reached
256 during the 12-minute lead time for an intense event forecast. The use of 12 minutes for the calculation will
257 be discussed in the next section. If the parameter threshold is not reached for an event in this lead time
258 window, then it does not forecast an intense event. We then applied a modified contingency table (Table 2)
259 where just “hits” and “misses” are possible (no false alarms and correct negatives). For an intense event, if
260 the parameter threshold is reached at or before 12-minute lead time, it is “hit”, and if it is not reached, it is
261 a “miss”. For non-intense events, “hit” and “miss” definitions are the opposite, but the entire event’s life
262 cycle is analyzed. Considering this strategy, we were able to calculate the probability of detection, while
263 other statistics such as the false alarm ratio could not be calculated. This was applied to obtain a single
264 evaluator that encompasses both intense and non-intense events. Then, a threshold adjustment was
265 performed by testing different values to obtain the best threshold with the maximum POD. For echo top
266 rate, in addition to the threshold, it was also necessary to test the reflectivity Z_h of the cloud top. Cloud top
267 was defined by the 40 dBZ reflectivity threshold because it provided the best POD score. In this manner,
268 13 parameters were defined, as presented in Table 1.

269

270 **5 The Statistics-Based Probabilistic Model**

271

272 Based on the parameters shown in Section 4.3 (Table 1), a statistics-based probabilistic model that
273 integrates all parameters was developed, which allows for the determination of whether along the cell life
274 cycle evolution the rain cell will evolve into an intense event. Applying the 13 parameters to an intense
275 event, it was determined how many parameters reached its threshold for each time step. The probability is

276 computed if the threshold is reached in the last two time steps (12 minutes). This twelve-minute
277 accumulation, as defined in the last section, is due to the difficulty of considering only one time step because
278 of the great event's and parameters' lead time variability. The number of parameters that provided an alert
279 results in a percentage probability of occurrence of an intense event. An average of the probability for all
280 nineteen intense events and its standard deviation in terms of the lead time is shown in Figure 4. For
281 comparison, the probability average and standard deviation without accumulating the last time steps, as
282 well as accumulating only the time step before it (6 minutes accumulated), are also shown. It can be seen
283 that the probability increases as the time accumulated gets higher. With accumulating 12 minutes, the
284 probability increases continually as the event time approaches. Generally, approximately 70% of the
285 parameters indicated an intense event alert in 24- to 12-minute lead time, and approximately 80% indicated
286 an intense event alert in 6-minute lead time. Six and zero minutes accumulated have lower probabilities,
287 and some steps have decreasing probability with decreasing lead time, which is not an expected or reliable
288 performance, thus justifying the use of the 12-minute accumulation in time. Lead times higher than 30
289 minutes were neglected in this analysis because of the large uncertainties and noise that occurs with large
290 lead time values not associated with the intensity of the event.

291 Applying the set of parameters to the independent events (blue line in Figure 5), a similar behavior
292 compared to the original set of intense events (black line in Figure 5) can be seen, with increasing
293 probability with decreasing lead time, except from -18- to -12-minute lead time, where a slight decrease of
294 approximately 0.2% is verified. Analyzing each independent event individually (yellow lines in Figure 5),
295 it is seen that, for most cases, the probability remains high and inside the intense standard deviation area.
296 From the ten independent events, four present a probability below the intense events standard deviation bar
297 at least one time. On the other hand, nine of ten events present high probabilities inside the intense event
298 standard deviation area in 6-minute lead time, and all events present probability inside this area at least
299 once during their life cycle.

300 Figure 6 shows the probabilities for the nineteen non-intense entire life cycles, with the last event's time
301 step plotted at 0 minutes on the x-axis. It can be seen that for ten of the nineteen non-intense events, the
302 probability reaches values of 38-46% at least once, which is in the intense event 30-minute lead time

303 standard deviation bar. For eighteen of the nineteen events, the probability does not reach 50%, which is
304 above the intense event standard deviation bars for lead times lower than 24 minutes. One single non-
305 intense event presents a very high probability during its life cycle and an intense event feature. This specific
306 event was associated with a cell that merged with a second rain cell that evolved into an intense event, but
307 at the same time, this second rain cell split, turning the tracking of the first rain cell to an erroneous life
308 cycle. Because this event has a short life cycle due to this error and has a maximum reflectivity of 50 dBZ
309 in 3 km cappi, it was characterized as a non-intense event in our study. Our criteria for non-intense events
310 is very strong because the cell should not reach a value larger than 60 dBZ; however, it should reach values
311 between 45 and 55 dBZ at least once. Therefore, this cell is not an ordinary rain cell, but it cannot be
312 classified as an intense event because it did not reach the 60 dBz value during the life cycle.

313 While tracking a rain cell, the statistics-based probabilistic model correctly detects its future intensity. For
314 an individual, independent intense event, the probability generally remained at 60% or higher, while for
315 non-intense events, the probability did not reach 50%, except for one event. If applied operationally, this
316 probabilistic model can help radar forecasters rank which rain cells should be followed as candidates for an
317 intense convective event.

318

319 **6 Conclusions**

320

321 This work presents a probabilistic statistics-based nowcasting model that selects potential rain cells to
322 become a cell with intense convection (≥ 60 dBZ). Because of the high reflectivity values of the non-intense
323 events sample (rain cells reaching 45-55 dBZ) compared to intense events (≥ 60 dBZ), this is considered a
324 very rigid model because it separates both types of storms. The model uses a set of thirteen parameters
325 based on volume accounting of polarimetric variables. The physical meanings of these volume fractions or
326 trends are consistent with well-known physical characteristics that are observed as storms develop, such as
327 height top rate increases, increases of supercooled water above 0 °C isotherm, vertically aligned ice crystals
328 and formation of graupel in the mixed-phase layer (Aydin and Seliga 1984; Tuttle *et al.* 1989; Bruning *et*

329 *al.* 2007; Mattos *et al.* 2016a). Each parameter has its own capability to detect an earlier event with intense
330 feature potential. Supercooled water immediately above 0 °C isotherm, cloud top rate increases and vertical
331 ice crystals content increases demonstrated the parameters with higher lead times. The relative volume
332 occupied by these regions in the mixed phase layer or the trend of these volumes and the echo top rate
333 captured the signature of the intensification process of these intense convective events. The definition of
334 thresholds values for each parameter and the probability of reaching this threshold value in the last 12
335 minutes have been shown to be suitable for the probabilistic model.

336 An independent intense set of events was applied for testing and it performed well, which is consistent with
337 the original set of intense events. The non-intense set of events was well separated from the intense and
338 independent events, as most non-intense events have a higher probability than the intense event standard
339 deviation area.

340 This study proposes 13 parameters based on the absolute value, relative volume and/or trends for
341 nowcasting intense convective events. A sensitivity analysis of each parameter is presented, and a threshold
342 is defined. Based on the 13 parameters and the threshold values, an operational model is proposed to select
343 potential rain cells to become intense convective events. Its application in a large sample of events should
344 be tested to present a quantitative evaluation of the methodology.

345

346

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515 **TABLE CAPTIONS**

516 **Table 1** Parameters definition and statistics for intense and non-intense events. For layer definition, see
517 Section 4.1. Unities of trend parameters (# 2, 4, 6, 8, 10 and 13) are adimensional

518 **Table 2** Modified contingency table with just hits and misses possible for each type of event

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530 **Table 1** Parameters definition and statistics for intense and non-intense events. For layer definition, see Section 4.1. Unities of trend parameters (# 2, 4, 6, 8, 10 and 13) are
 531 adimensional

#	Parameter	Layer	Parameter Threshold	Intense Events			Non-intense Events			Total			
				Maximum parameter average	Hits	Misses	POD	Hits	Misses	POD	Hits	Misses	POD
1	$dV(Z_h \geq 35)/dt$	MPL	$+5 \text{ km}^3 \text{ min}^{-1}$	$16.2 \text{ km}^3 \text{ min}^{-1}$	16	3	0.842	17	2	0.894	33	5	0.868
2	$V(Z_h \geq 35)/(V(\text{layer}))$	MPL	0.28	0.566	19	0	1.000	5	14	0.263	24	14	0.631
3	$dV(K_{DP} \geq 0)/dt$	MPL-1	$+8 \text{ km}^3 \text{ min}^{-1}$	$15.7 \text{ km}^3 \text{ min}^{-1}$	9	10	0.473	18	1	0.947	27	11	0.710
4	$V(K_{DP} \geq 0)/(V(\text{layer}))$	MPL-1	0.75	0.911	19	0	1.000	1	18	0.052	20	18	0.526
5	$dV(K_{DP} < 0)/dt$	MPL-2	$+3 \text{ km}^3 \text{ min}^{-1}$	$10.1 \text{ km}^3 \text{ min}^{-1}$	12	7	0.631	18	1	0.947	30	8	0.789
6	$V(K_{DP} < 0)/(V(\text{layer}))$	MPL-2	0.50	0.76	16	3	0.842	7	12	0.368	23	15	0.605
7	$dV(\rho_{hv} \leq 0.9)/dt$	MPL-1	$+4 \text{ km}^3 \text{ min}^{-1}$	$8.5 \text{ km}^3 \text{ min}^{-1}$	12	7	0.631	18	1	0.947	30	8	0.789
8	$V(\rho_{hv} \leq 0.9)/(V(\text{layer}))$	MPL-1	0.19	0.503	19	0	1.000	1	18	0.052	20	18	0.526
9	$dV(Z_{DR} < 0)/dt$	MPL	$+11 \text{ km}^3 \text{ min}^{-1}$	$29.6 \text{ km}^3 \text{ min}^{-1}$	13	6	0.684	16	3	0.842	29	9	0.763
10	$V(Z_{DR} < 0)/(V(\text{layer}))$	MPL	0.80	0.901	17	2	0.894	7	12	0.368	24	14	0.631
11	$dH(40\text{dBZ})/dt$	40 dBZ top	15 km h^{-1}	23.9 km h^{-1}	14	5	0.736	10	9	0.526	24	14	0.631
12	VIL	Entire cell	16 kg m^{-2}	32.9 kg m^{-2}	17	2	0.894	18	1	0.947	35	3	0.921
13	$d(VIL)/dt$	Entire cell	0.02	2.9	12	7	0.631	17	2	0.894	29	9	0.763

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533 **Table 2** Modified contingency table with just hits and misses possible for each type of events

		Intense event	Non-intense event
Was intense event forecast? (i.e., Was threshold exceeded?)	Yes	Intense event hit	Non-intense event miss
	No	Intense event miss	Non-intense event hit

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550 **FIGURE CAPTIONS**

551 **Fig. 1** Sao Jose dos Campos CHUVA X-Band radar location (gray diamond), total radar coverage (100
552 km ray), study area (60 km ray), 19 intense events maximum reflectivity location (blue crosses), 10
553 independent intense events maximum reflectivity location (green triangles) and 19 non-intense events
554 maximum reflectivity location (red X's)

555

556 **Fig. 2** Maximum reflectivity temporal evolution for intense events (blue), independent intense events
557 (black) and non-intense events (yellow). Time corresponds to the first time that a 35 dBZ rain cell was
558 detected at 3 km CAPPI. For intense and independent events, only the event's time is plotted (when event
559 reached 60 dBZ)

560

561 **Fig. 3** Frequency of the maximum volume fraction and trend parameters values in terms of lead time. The
562 black line presents the total for all parameters, divided by 10

563

564 **Fig. 4** Average probability of nineteen intense events to become intense, according to the thirteen
565 parameters, and its standard deviation. Zero minutes accumulated (yellow line and bars) means that only
566 one lead time is computed, while six minutes accumulated (blue line and bars) means that the anterior
567 time step is also computed. Twelve minutes considers the actual and the last two time steps (black line
568 and bars)

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570 **Fig. 5** Average probability of ten independent events (blue line and bars) to become intense, according to
571 the thirteen parameters, and its standard deviation. Individual independent event probabilities to become
572 intense are plotted in yellow. For reference, the average probability of the nineteen intense events (black
573 line and bars) to become intense is also plotted. All curves are accumulating twelve minutes in time

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575 **Fig. 6** Individual non-intense event probability to become intense (each yellow line), according to the
576 thirteen parameters. The last non-intense events time steps are plotted on time equal to zero. For
577 reference, the average probability of the nineteen intense events to become intense and its standard
578 deviation are also plotted in black. All curves are accumulating twelve minutes in time

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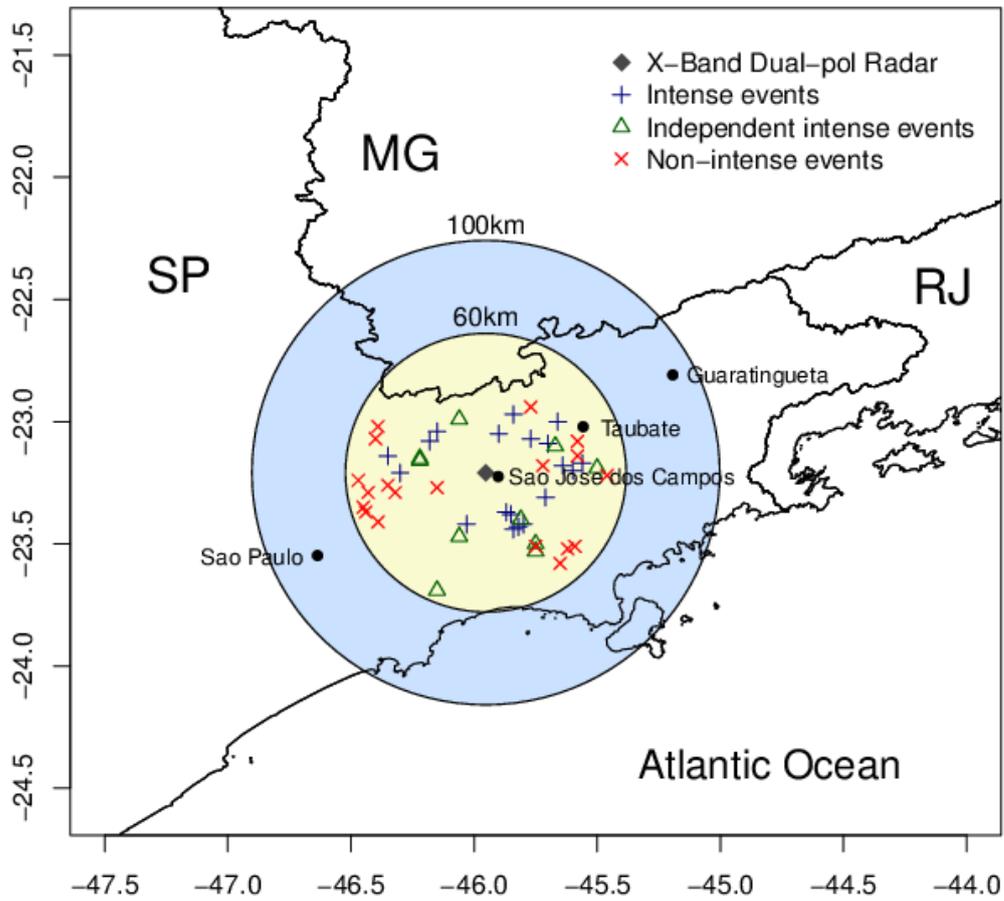
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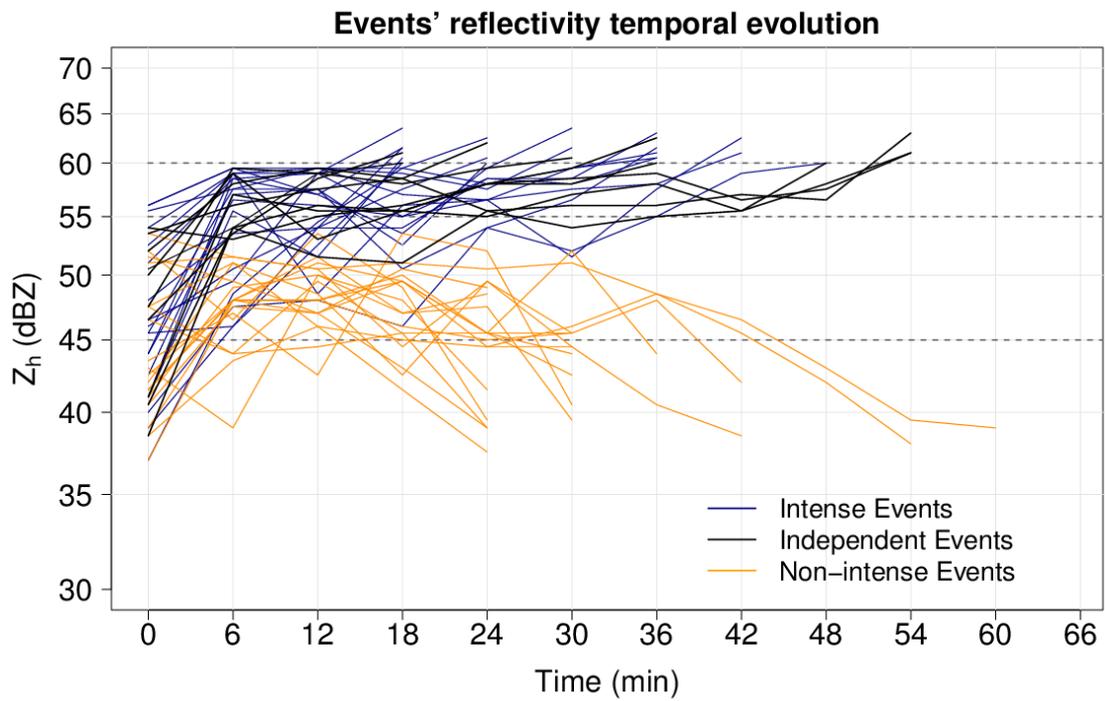
Fig. 1 Sao Jose dos Campos CHUVA X-Band radar location (gray diamond), total radar coverage (100 km ray), study area (60 km ray), 19 intense events maximum reflectivity location (blue crosses), 10 independent intense events maximum reflectivity location (green triangles) and 19 non-intense events maximum reflectivity location (red X's)

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Fig. 2 Maximum reflectivity temporal evolution for intense events (blue), independent intense events (black) and non-intense events (yellow). Time corresponds to the first time that a 35 dBZ rain cell was detected at 3 km CAPPI. For intense and independent events, only the event's time is plotted (when event reached 60 dBZ)

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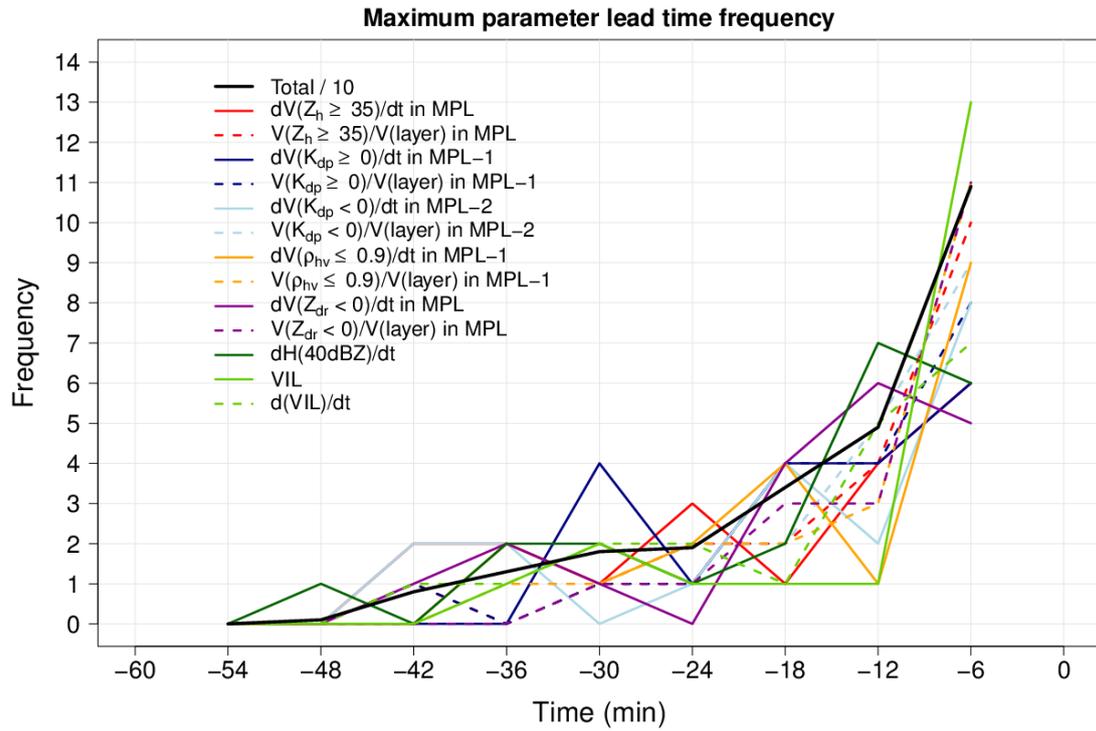
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Fig. 3 Frequency of the maximum volume fraction and trend parameters values in terms of lead time. The black line presents the total for all parameters, divided by 10

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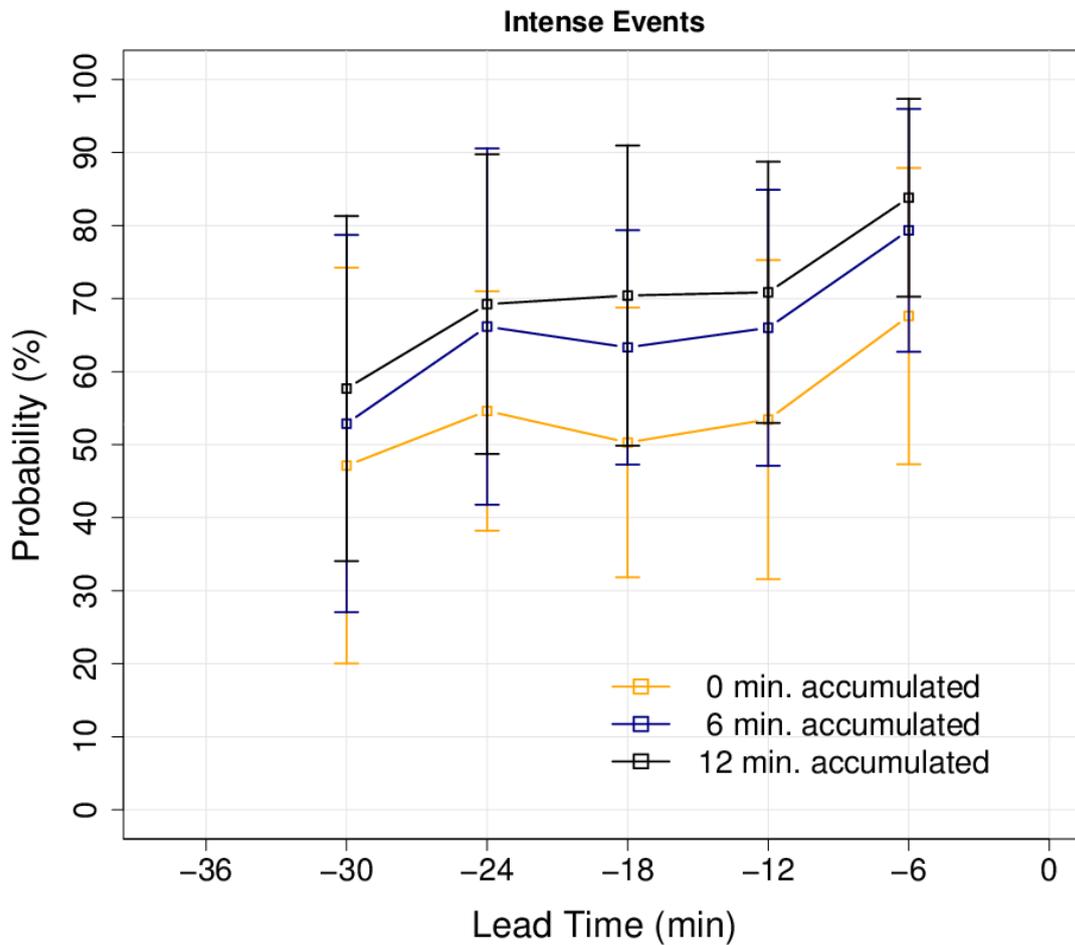
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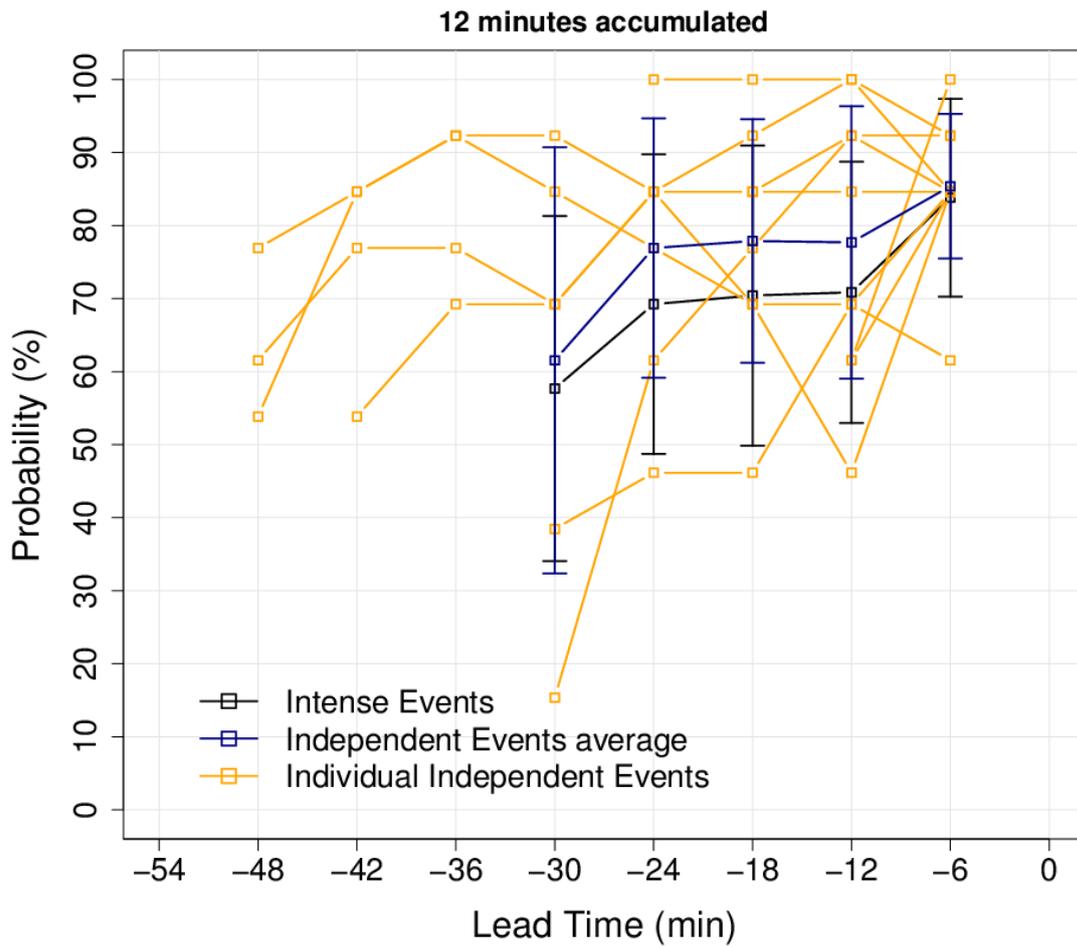
Fig. 4 Average probability of nineteen intense events to become intense, according to the thirteen parameters, and its standard deviation. Zero minutes accumulated (yellow line and bars) means that only one lead time is computed, while six minutes accumulated (blue line and bars) means that the anterior time step is also computed. Twelve minutes considers the actual and the last two time steps (black line and bars)

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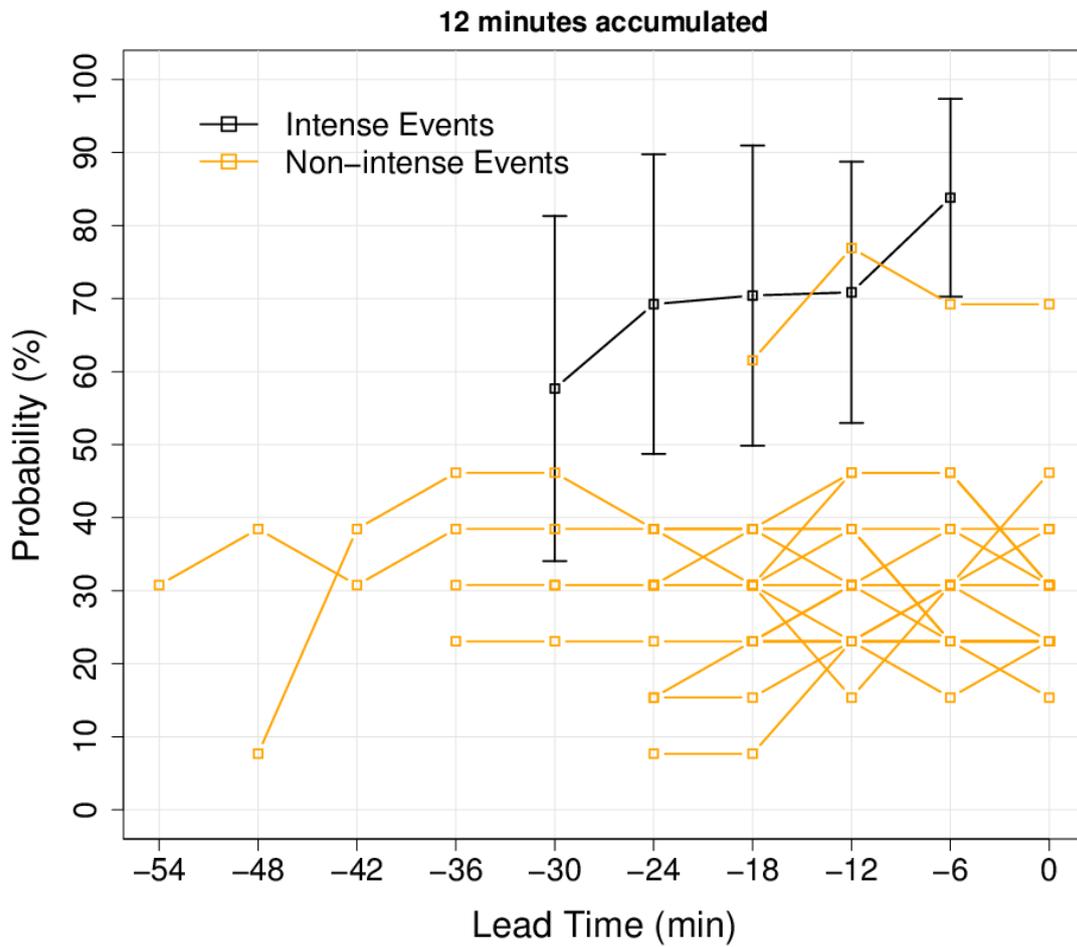
Fig. 5 Average probability of ten independent events (blue line and bars) to become intense, according to the thirteen parameters, and its standard deviation. Individual independent event probabilities to become intense are plotted in yellow. For reference, the average probability of the nineteen intense events (black line and bars) to become intense is also plotted. All curves are accumulating twelve minutes in time

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Fig. 6 Individual non-intense event probability to become intense (each yellow line), according to the thirteen parameters. The last non-intense events time steps are plotted on time equal to zero. For reference, the average probability of the nineteen intense events to become intense and its standard deviation are also plotted in black. All curves are accumulating twelve minutes in time